

Anand Rathi Advisors Limited.

Data For the month ending- September 2025

| Sr. | Received from | Pending at | Received | Resolved* | Total | Pending | Average |
|-----|---------------|------------|----------|-----------|----------|-------------|------------|
| No. | | the end of | | | Pending# | complaints> | Resolution |
| | | last | | | | 3 months | time(in |
| | | month | | | | | days) |
| 1 | Directly from | NIL | NIL | NIL | NIL | NIL | 30 days |
| | investors | | | | | | |
| 2 | SEBI SCORES | NIL | NIL | NIL | NIL | NIL | 30 days |
| | | | | | | | |
| 3 | Other sources | NIL | NIL | NIL | NIL | NIL | 30 days |
| | (if any) | | | | | | |
| 4 | GRAND TOTAL | NIL | NIL | NIL | NIL | NIL | 30 days |
| | | | | | | | |

Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints

| Sr. | Month | Carried | Received | Resolved* | Pending# |
|-----|-------------|--------------|----------|-----------|----------|
| no. | | forward from | | | |
| | | previous | | | |
| | | month | | | |
| 1 | APRIL 2025 | NIL | NIL | NIL | NIL |
| 2 | MAY 2025 | NIL | NIL | NIL | NIL |
| 3 | JUNE 2025 | NIL | NIL | NIL | NIL |
| 4 | JULY 2025 | NIL | NIL | NIL | NIL |
| 5 | AUGUST 2025 | NIL | NIL | NIL | NIL |
| | GRAND TOTAL | NIL | NIL | NIL | NIL |

^{*}Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

Trend of annual disposal of complaints

| SN | Year | Carried forward from previous year | Received | Resolved** | Pending## |
|----|-------------|--|----------|------------|-----------|
| 1 | 2018-2019 | NIL | NIL | NIL | NIL |
| 2 | 2019-2020 | NIL | NIL | NIL | NIL |
| 3 | 2020-2021 | NIL | NIL | NIL | NIL |
| 4 | 2021-2022 | NIL | 02 | 02 | NIL |
| 5 | 2022-2023 | NIL | 01 | 01 | NIL |
| 6 | 2023-20224 | NIL | 02 | 02 | NIL |
| 7 | 2024-2025 | NIL | NIL | NIL | NIL |
| 8 | 2025-2026 | NIL | NIL | NIL | NIL |
| | Grand Total | NIL | 05 | 05 | NIL |

^{**}Inclusive of complaints of previous year resolved in the current year.

##Inclusive of complaints pending as on the last day of the year.